## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Daikichi FUKUSHIMA, et al.

Appln. No. Not Yet Assigned

Filed: November 14, 2000

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

For: NOVEL POLYPEPTIDES, cDNA ENCODING THE SAME, AND USE OF THEM

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 32,197

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Date: November 14, 2000

## 532 Rec'd PCT/PTO 14 NOV 2000

Form PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent & Trademark Office		Atty. Docket No. Q61459	Serial No 09/700397 Not Yet Assigned		
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Daikichi FUKUSHIMA, et al.			
			Filing Date: November 14, 2000	Group: Not Yet Assigned		
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Date (if appropriate)
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FOREIGN PATENT DOCUMENTS						
	Document	Date	Country	Class	Sub- class	Translation Yes/No
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	International Search Report					
	J. Neurosci., Vol. 15, No. 3 (1995) A.F. Struyk et al., "Cloning of neusotrimin defines a new subfamily of differentially expressed neural cell adhesion molecules", p. 2141-2156					
	J. Neurosci., Vol. 16, No. 5 (1996) F. Spaltmann et al., "CEPU-1, a novel immunoglobulin superfamily molecule, is expressed by developing cerebellar purkinje cells", p. 1770-1779					
	Gene, Vol. 155, No. 2 (1995) K.B. Shark and N.M. Lee; "Cloning, sequencing and localization to chromosome 11 of a cDNA encoding a human opioid-binding cell adhesion molecule (OBCAM)", p. 213-217					
	EMBO J., Vol. 8, No. 2 (1989) P.R. Schfield et al., "Molecular characterization of a new immunoglobulin superfamily protein with potential roles in opioid binding and cell contact", p. 489-495					
	Gene, Vol. 117, No. 2 (1992) D.A. Lippman et al., "Opioid-binding cell adhesion molecule (OBCAM)-related clones from a rat brain cDNA library", p. 249-254					
	J.Cell Sci., Vol. 109, No. 13 (1996) D.J.A. Wilson et al., "A family of glycoproteins (GP55), which inhibit neurite outgrowth, are members of the Ig superfamily and are related to OBCAM, neurotrimin, LAMP and					
EVAL (T)	CEPU-1", p. 3129-3138					
EXAMINER: DATE CONSIDERED:						
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication.						